

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

☒ In re application of*: Wu, et al.

Serial No.: 10/702,456

Confirmation No.: 9710

Filed: 11/07/2003

Examiner: Hong Sol Cho

For*: ATM Header Compression Using Hash Tables

☐ Patent No.:

Issued:

NOTE: Insert name(s) of inventor(s) and title also for patent. Where the refund request is with respect to a maintenance fee payment also insert application serial number and filing date.

Mail Stop 16

Director of the US Patent and
Trademarks Office

P.O. Box 1450

Alexandria, VA 22313-1450

ATTENTION: Refund Section, Accounting Division, Office of Finance
REQUEST FOR REFUND
(37 C.F.R. 1.28(a))

NOTE: 37 C.F.R. § 1028(a) "(a) Refunds based on later establishment of small entity status. A refund pursuant to § 1.26, based on establishment on small entity status, of a portion of fees timely paid in full prior to establishing status as a small entity may only be obtained if an assertion under § 1.27(c) and a request for refund of the excess amount are filed within three months of the date of the timely payment of the full fee. The three-month time period is not extendable under § 1.136. Status as small entity is waived for any fee by the failure to establish the status prior to paying, at the time of paying, or within three months of the date of payment of, the full fee."

NOTE: Submission of a Change of Status (small/not small entity status) after issuance of the Notice of Allowance in an application does not result in a reduction in patent term adjustment under 37 C.F.R. § 1.704(c)(1). See Notice of May 29, 2001, 1247 OG 111-112, June 26, 2001.

CERTIFICATE OF MAILING (37 C.F.R. 1.8)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being

MAILING

☐ deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope to the: Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

☐ with sufficient postage as first class mail. 37
C.F.R. § 1.8(a)

☒ as "Express Mail Post Office to Addressee"
Mailing Label No.: (mandatory).
37 C.F.R. § 1.10

TRANSMISSION

☒ facsimile transmitted to the Patent and Trademark Office (703) 273-8300.

Date:

July 8, 2008

Signature: Julie Campbell

I. SUBMISSION OF SMALL ENTITY ASSERTION

- ☐ Attached is an assertion of small entity status in this application.
☐ An assertion of small entity status was filed in this application on _____.

II. REFUND REQUEST

This request for refund is made within three months of the date a fee was paid in this application on (date) _____ in the amount of \$ _____.

NOTE: The two-month period (§ 1.28(a)) is not included in the provisions for extension under 37 C.F.R. 1.136 since it is not a period for response. Notice of November 30, 1983, 49 FR 548, January 4, 1984.

III. FEES PAID FOR WHICH REFUND REQUESTED

AMOUNT OF
REFUND
REQUESTED

- | | |
|--|----------|
| <input type="checkbox"/> filing fee | \$ _____ |
| <input type="checkbox"/> surcharge for filing the basic filing fee on a date later than the filing date of the application (37 CFR 1.16(e)) and/or | \$ _____ |
| <input type="checkbox"/> surcharge for filing the oath or declaration on a date later than the filing date of the application (37 CFR 1.16(e)) | \$ _____ |
| <input type="checkbox"/> extension of term | \$ _____ |
| <input type="checkbox"/> issue fee | \$ _____ |
| <input type="checkbox"/> patent maintenance fee | \$ _____ |
| <input type="checkbox"/> first maintenance fee | \$ _____ |
| <input type="checkbox"/> second maintenance fee | \$ _____ |
| <input type="checkbox"/> third maintenance fee | \$ _____ |
| <input type="checkbox"/> patent maintenance fee surcharge. | \$ _____ |

NOTE: The refund provisions of § 1.28(a) for later submitted small entity statements apply to maintenance fees. Notice of July 30, 1984, 1046 O.G. 28-37

- ☒ other: Duplicate Charge for IDS – see attached list of charges to our deposit account
 \$ 180.00


TOTAL REFUND REQUESTED

\$ 180.00

IV. MANNER OF REFUND

Please make refund by:

- ☒ Deposit Account No. **20-0778**.
☐ Credit card as shown on the attached credit card information authorization form PTO-2038.
☐ Refunding overpayment



Scott A. Horstemeyer, Reg. No. 34,183

**THOMAS, KAYDEN, HORSTEMEYER
& RISLEY, L.L.P.**

600 Galleria Parkway, Suite 1500
Atlanta, Georgia 30339-5948
770-933-9500



**United States
Patent and
Trademark Office**



Deposit Account Statement

Requested Statement Month: April 2008
Deposit Account Number: 200778
Name: THOMAS KAYDEN HOSTEMEYER & RISLEY
Attention: SHANNON IVORY
Street Address 1: 600 GALLERIA PKWY, SUITE 1500
Street Address 2:
City: ATLANTA
State: GA
Zip: 30339-5994
Country: UNITED STATES

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
04/01	13841	2554510	61605-3600	7205	\$100.00	\$27,725.98
04/01	13842	2554510	61605-3600	7208	\$200.00	\$27,525.98
04/02	1754	11969625	821402-1142	8021	\$40.00	\$27,485.98
04/02	7612	6131363	820905-1010	2552	\$1,180.00	\$26,305.98
04/02	8320	29281616	821620-1070	8021	\$40.00	\$26,265.98
04/02	6	12052763	250809-1120	1202	\$3,500.00	\$22,765.98
04/02	7	12052763	250809-1120	1203	\$370.00	\$22,395.98
04/03	107	11932716	821402-1113	1011	\$310.00	\$22,085.98
04/03	113	11932716	821402-1113	1252	\$460.00	\$21,625.98
04/03	112	11932716	821402-1113	1051	\$130.00	\$21,495.98
04/03	109	11932716	821402-1113	1111	\$510.00	\$20,985.98
04/03	111	11932716	821402-1113	1202	\$6,500.00	\$14,485.98
04/03	110	11932716	821402-1113	1311	\$210.00	\$14,275.98
04/03	2716	2687551	821301-3020	7205	\$100.00	\$14,175.98
04/03	4	PCT/US08/55885	760601-2030	1702	-\$1,194.00	\$15,369.98
04/03	5	PCT/US08/55885	760601-2030	1701	\$1,109.00	\$14,260.98
04/03	9162	12061726	761905-1200	1011	\$310.00	\$13,950.98
04/03	9163	12061726	761905-1200	1111	\$510.00	\$13,440.98
04/03	9164	12061726	761905-1200	1311	\$210.00	\$13,230.98
04/03	9165	12061726	761905-1200	1202	\$150.00	\$13,080.98
04/03	9785	6854561	340204-1010	2551	\$465.00	\$12,615.98
04/03	10448	12061726	761905-1200	8021	\$40.00	\$12,575.98
04/04	11993	11840547	250338-1290	1464	\$130.00	\$12,445.98
04/07	5351	09998107	A-6886	1801	\$810.00	\$11,635.98
04/07	9211	E-REPLENISHMENT		9203	-\$18,000.00	\$29,635.98
04/09	8538	78661601	822204-3130	7004	\$150.00	\$29,485.98

04/09 11688 11672314	820329-1010 2202	\$75.00	\$29,410.98
04/09 11689 11672314	820329-1010 2201	\$210.00	\$29,200.98
04/09 11690 11672314	820329-1010 2251	\$60.00	\$29,140.98
04/10 4263 11339325	822001-1130 2253	\$525.00	\$28,615.98
04/10 12509 10602988	A9286 1251	\$120.00	\$28,495.98
04/10 12510 10602988	A9286 1801	\$810.00	\$27,685.98
04/11 12907 10383130	A-8068 1801	\$810.00	\$26,875.98
04/14 7358 78926436	762001-3110 7003	\$200.00	\$26,675.98
04/15 563 09590518	A-6591 1251	\$120.00	\$26,555.98
04/15 564 09590518	A-6591 1401	\$510.00	\$26,045.98
04/15 644 09475696	A-6307 1251	\$120.00	\$25,925.98
04/15 2354 11326832	062020-1353 2251	\$60.00	\$25,865.98
04/15 62 10702456	060707-1640 1806	\$180.00	\$25,685.98
04/16 1 10858964	050847-1040 2201	\$105.00	\$25,580.98
04/16 6108 78926427	651601-3030 7003	\$200.00	\$25,380.98
04/16 6146 77449086	651601-3030 7001	\$325.00	\$25,055.98
04/16 12036 2562156	740204-3040 7205	\$100.00	\$24,955.98
04/16 12037 2562156	740204-3040 7208	\$200.00	\$24,755.98
04/17 2546 61045454	741313-8010 2005	\$105.00	\$24,650.98
04/17 4574 77450085	651601-3040 7001	\$325.00	\$24,325.98
04/17 10685 77450456	651601-3050 7001	\$325.00	\$24,000.98
04/17 10692 77450460	820207-3050 7001	\$325.00	\$23,675.98
04/17 10718 77450466	651601-3060 7001	\$325.00	\$23,350.98
04/17 10782 11490813	820221-1010 2501	\$720.00	\$22,630.98
04/17 10783 11490813	820221-1010 1504	\$300.00	\$22,330.98
04/17 10787 77450479	651601-3070 7001	\$325.00	\$22,005.98
04/17 10790 09941229	050115-1050 2401	\$255.00	\$21,750.98
04/17 10836 77450483	651601-3080 7001	\$325.00	\$21,425.98
04/17 11825 78815150	740204-3250 7004	\$150.00	\$21,275.98
04/18 10 PCT/US08/59925	221907-2120 1701	\$1,109.00	\$20,166.98
04/21 371 61046105	271303-8030 2005	\$105.00	\$20,061.98
04/22 3841 10917655	821404-1050 2252	\$230.00	\$19,831.98
04/22 3842 10917655	821404-1050 2801	\$405.00	\$19,426.98
04/22 5603 10981347	A-10185 1251	\$120.00	\$19,306.98
04/22 5604 10981347	A-10185 1801	\$810.00	\$18,496.98
04/22 10056 5568313	821402-1041 1553	\$3,910.00	\$14,586.98
04/23 10825 77455421	651601-3090 7001	\$325.00	\$14,261.98
04/23 14234 77077798	821601-3060 7004	\$450.00	\$13,811.98
04/24 3 10436790	252209-2330 1251	\$120.00	\$13,691.98
04/24 3 11326832	062020-1353 2801	\$405.00	\$13,286.98
04/24 1 10702456	060707-1640 1806	\$180.00	\$13,106.98
04/24 11251 E-REPLENISHMENT	9203	-\$17,000.00	\$30,106.98

START BALANCE	SUM OF CHARGES	SUM OF REPLENISH	END BALANCE
\$27,825.98	\$33,913.00	\$36,194.00	\$30,106.98